

**THE KINGDOM OF SAUDI ARABIA
SALINE WATER CONVERSION CORPORATION
CRITERIA OF QUESTIONNAIRE
FOR
SUBCONTRACTORS MANUFACTURING EQUIPMENT**

IMPORTANT

**NO SUBCONTRACTOR WILL BE PREQUALIFIED UNLESS IT HAS FULLY
ANSWERED THIS QUESTIONNAIRE AS INSTRUCTED**

**SWCC HEAD OFFICE
PREQUALIFICATION DEPARTMENT
OLAYA
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SAUDI ARABIA
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CRITERIA FOR SELECTION OF SUBCONTRACTORS MANUFACTURING EQUIPMENT

The approval of this applicant shall not relieve the main contractor/s from assigning not less than 30% of the total value of the contract to (100%) Saudi contractor/s.

GENERAL RULES:

ARTICLE 01 : ASSIGNMENT AND TRANSFER

The contractor shall not be entitled to transfer to a third party under any circumstances whatever all the works subject of this contract, nor shall they transfer part of the works to a subcontractor without obtaining prior written consent of the SWCC (corporation). This appendix describes the procedures and criteria to be followed to obtain such consent of the SWCC.

ARTICLE 02 : SUBCONTRACTORS MANUFACTURING EQUIPMENT

The contractor is required to comply with the following criteria in selecting firms for subcontracting manufacture of equipment, which falls within the scope of this contract.

- I. The firm to which the contractor intends to manufacturer as (subcontract) must be a registered firm having proven experience of at least ten years in design, manufacture and supply of high quality equipment of the required type. (the firm must furnish, in English, chronologically arranged reference list of the required type of equipment of their own manufacture and supplied during the last ten years. The list must show location, ownership, date of initial commissioning, services under which being used as well as relevant technical features of each listed item).
- II. The firm must have manufactured during the last five years, at least two units, of their own make, the required type of equipment matching with the designed or specified size/capacity of the equipment required. (reference for supplying and manufacturing of larger size / capacity shall be considered to the advantage of the firm).
- III. The firm must provide at least two performance certificates from different End users of the particular type, size/ capacity of the required equipment, showing satisfactory commercial operation for at least three years or more.
- IV. The contractor must submit to the SWCC with the application for approval written confirmation that the manufacturer as (subcontractor) will have spare parts and services available whenever required by the SWCC, for further details please refer to the declaration included in the questionnaire.
- V. The application for prequalification approval must contain written confirmation and understanding by the manufacturer as (subcontractor) for the following services at the prevailing current prices and reasonable delivery time (as detailed in the declaration);
 - (a) Providing spare parts.
 - (b) Providing necessary expertise for solving any maintenance / operation problem within 14 days of notification of request for expertise.
 - (c) Providing any other service which may be required for the operation and performance of the equipment.
- VI. The application for prequalification approval must contain a declaration by the manufacturer as (subcontractor) or that they will not refuse to give direct quotations for spare parts purchase request raised by the SWCC either directly through its purchasing department or indirectly through the SWCC approved suppliers.
- VII. The application for prequalification approval must contain a declaration by the manufacturer as (subcontractor) that they will not discriminate in price and expediency against any supplier requesting price quotations on behalf of the SWCC.
- VIII. The application for prequalification approval must contain a declaration by the manufacturer as (subcontractor) that the ex - works prices quoted for spare parts at any time in the future will be at least equivalent if not lower than the prices prevailing in the country of origin of the spare parts.
- IX. In case that the equipment or parts to be supplied by the proposed manufacturer as (subcontractor) contain parts manufactured by firms other than the proposed manufacturer as (subcontractor), then the manufacturer as (subcontractor) must confirm that they will provide complete information of the prospective sub - manufacturers to enable the SWCC to identify parts to the original manufacturers. (this and other information will be given for all assembly parts in the equipment using "simpl spare parts list and interchange ability record" form. A specimen of this form will be given to the contractor after contract signature).
- X. It is clearly understood that any violation or falsification of information for above items (I) to (X) will automatically disqualify equipment manufacturer as a prospective manufacturer of equipment for any project of the SWCC.

THE FOLLOWING PROCEDURE SHALL BE OBSERVED IN ORDER TO OBTAIN THE REQUIRED PREQUALIFICATION APPROVAL FROM THE SWCC.

1. The contractor is required to obtain prequalification approval from the SWCC of their proposed manufacturer as (subcontractor) before engaging them.
2. Enclosed herewith a copy of the SWCC questionnaire "subcontractors manufacturing equipment" for collecting qualification data of the proposed manufacturer as (subcontractor). Duly filled - in questionnaires are to be submitted by the contractor for each of their manufacturer as (subcontractor).
3. The original of the filled in questionnaire is to be submitted to the SWCC and a true copy thereof to the consulting engineer for their evaluation of the proposed manufacturer as (subcontractor) and recommendation to the SWCC.
4. It is the responsibility of the contractor to comply with the criteria for manufacturer as (subcontractor) and to submit duly completed questionnaires for qualification of manufacturer as (subcontractor) at such time as to avoid delay in their works.
5. The manufacturer as (subcontractor) or may also submit their printed literature, in English and Arabic for better introduction of their firm, past achievements and present capabilities.
6. The SWCC approval of manufacturer as (subcontractor) shall neither absolve the contractor of their contractual obligations nor waive off the specified technical requirements.

**THE KINGDOM OF SAUDI ARABIA
SALINE WATER CONVERSION CORPORATION
QUESTIONNAIRE FOR SUBCONTRACTORS MANUFACTURING EQUIPMENT**

This application will not be considered unless all questions are fully answered and all requested enclosures and declarations are made

- A.**
 A-1 Name of project :
 A-2 Reference No. and name of contract :
 A-3 Name of contractor :
 A-4 Total value of contract :

- B.**
 B-1 Name of equipment required for the project :
 B-2 Type of equipment :
 B-3 Model no. Of equipment :
 B-4 Size / capacity of equipment :
 (Specify in detail the size / Capacity required for the project)
 B-5 Total No. of identical units required :
 B-6 Value of The Equipment :

- C.**
 C-1 Name of equipment manufacturing firm : Nationality :
 C-2 Date and place of firm registration :
 C-3 Address :

LOCATION	PRINCIPAL CONTACT	POSITION	P.O. BOX	CITY	COUNTRY	FAX	TELEX	E. MAIL	PHONE
Inside Saudi Arabia									
Outside Saudi Arabia									

- C-4 No. of years manufacturer making equipment : Years
 (Enclose chronologically arranged reference list of last ten years for the equipment noted against B-1 above and showing End-users name, year of supply, No. of units, unit size & capacity, type, model etc.).
 C-5 Provide information on any five units of the equipment equivalent / larger under item 'B' above and which have been in continuous and satisfactory services for not less than three years, and which were manufactured and supplied by the firm.

SL. NO.	PRESENT OWNER	LOCATION	YEAR UNIT (B-1) COMMISSIONED	UNIT (B-1) SIZE / CAPACITY	UNIT (B-1) MODEL NO.	SERVICE FOR WHICH BEING USED
1.						
2.						
3.						
4.						
5.						

(Enclose performance certificates from any two end users of the units listed above, by mentioning specification, year of commissioning and satisfactory commercial operation for three years or more).

- C-6 (a) Name of firm's licensor for design and manufacture of above equipment :
 (b) Year licensing agreement made :
 (c) License expires on :
 C-7 Place of equipment manufacture :
 (The place of manufacture should be in the country of origin of the firm as mentioned in clause C-1)

- C-8 Annual production capacity :
- C-9 No. of units B-1 manufactured by the firm & which are in operation. :
- C-10 Percentage of equipment in terms of value actually manufactured at the firm's C-1 works : ----- %

(Please give details on a attached summary sheet for each of the prospective sub-manufacturers (sub-subcontractors) of major items not included in clause C-10).

D. IF APPLICABLE

Furnish the following information on the particular model of the required type of equipment which the firm intends to offer to swcc for above named project to meet specified / designed requirements:

D-1 Model and serial no. :

D-2 Design capacity / size of unit :

D-3 No. Of units of the selected model, size & : Capacity manufactured by the firm & which are in operation.

DOCUMENTS REQUIRED:-

- ◆ Ministerial degree / license to manufacture b-1 equipment. (additional documents of commercial registration, chamber of commerce & zakat payment certificates for Saudi manufacturer)
- ◆ Ref. Clause C-4 - detailed reference list of last ten years.
- ◆ Ref. Clause C-5 - two performance certificates issued by different end users.
- ◆ Printed documents – catalogues, brochures for required equipment.
- ◆ Each page of filled in questionnaire should be signed and stamped by actual manufacturer (subcontractor).
- ◆ Top executives of contractor and manufacturer as (subcontractor) should sign and stamped declaration of spare parts and manufacturing statement.
- ◆ Ref. Clause C-10 - subcontractor is required to submit the duly completed and signed declaration of spare parts, manufacturing statement and summary sheet for each of his sub-manufacturer (sub-subcontractor).

Note: Proforma for declaration of spare parts, manufacturing statement and summary sheet are enclosed herewith.

DECLARATION OF SPARE PARTS

In full accordance with items 'IV' & 'V' to 'X' inclusive of the criteria for selection of subcontractors manufacturing equipment.

We, ----- (the **main contractor or consortium leader**) for the project of -----

We,

----- (THE **SUBCONTRACTOR**) FOR THE MANUFACTURE OF EQUIPMENT -----

SIZE/CAPACITY ----- MODEL NO. ----- NO. OF UNITS -----

WE, ----- (THE **SUB-SUBCONTRACTOR**) FOR THE MANUFACTURE OF ITEM -----

FOR THE ABOVE-MENTIONED EQUIPMENT, SIZE / CAPACITY ----- MODEL NO. ----- NO. OF UNITS -----

HEREBY DECLARE AND CONFIRM THE FOLLOWING:-

- ITEM NO. 1 WE WILL PROVIDE SPARE PARTS DURING THE ENTIRE LIFETIME OF OUR SUPPLIED EQUIPMENT.
- ITEM NO. 2 WE WILL PROVIDE NECESSARY EXPERTS FOR SOLVING ANY MAINTENANCE / OPERATION PROBLEM, ASSOCIATED WITH OUR SCOPE OF WORK WITHIN (14) DAYS OF NOTIFICATION OF REQUEST BY THE SWCC FOR EXPERTS.
- ITEM NO. 3 WE WILL NOT REFUSE TO GIVE DIRECT QUOTATIONS FOR SPARE PARTS PURCHASE REQUESTS RAISED BY THE SWCC FOR EXPERTS.
- ITEM NO. 4 WE WILL NOT DISCRIMINATE IN PRICE AND EXPEDIENCY AGAINST ANY SUPPLIER REQUESTING PRICE QUOTATIONS ON BEHALF OF THE SWCC.
- ITEM NO. 5 THE EX-WORKS PRICES QUOTED BY OURSELVES FOR SPARE PARTS AT ANY TIME IN THE FUTURE WILL BE AT LEAST EQUIVALENT IF NOT LOWER THAN THE PRICES PREVAILING IN THE COUNTRY OF ORIGIN OF THE SPARE PARTS.
- ITEM NO. 6 WE WILL PROVIDE COMPLETE INFORMATION REGARDING EQUIPMENT SUB-MANUFACTURERS TO ENABLE THE SWCC TO IDENTIFY PARTS TO ORIGINAL MANUFACTURERS PRECISELY. THIS INFORMATION WILL INCLUDE BUT NOT BE LIMITED TO ITEMS SUCH AS, PART NO. AND DATE OF PURCHASE ORDER MADE BY OURSELVES, INFORMATION FOR ALL ASSEMBLY PARTS. WE CONFIRM THAT WE WILL PROVIDE THIS INFORMATION IN THE FORM OF "SIPM SPARE LIST AND INTERCHANGABILITY RECORD."
- ITEM NO. 7 WE CLEARLY UNDERSTAND THAT ANY VIOLATION OF THE REQUIREMENTS OF THIS APPLICATION OR FALSIFICATION OF ANY INFORMATION GIVEN IN THIS APPLICATION WILL AUTOMATICALLY DISQUALIFIED US AS A PROSPECTIVE MANUFACTURER OF EQUIPMENT FOR ANY PROJECT OF THE SWCC.

THE INFORMATION AND DOCUMENTS FURNISHED IN ANSWERING THIS QUESTIONNAIRE ARE TRUE AND CORRECT.

	<u>MAIN CONTRACTOR</u>	<u>SUBCONTRACTOR</u>	<u>SUB - SUBCONTRACTOR</u>
SIGNATURE (SIGNED & STAMPED BY ACTUAL MANUFACTURER)
NAME
TITLE
DATE
OFFICIAL SEAL

MANUFACTURING STATEMENT

TO :- SWCC

SUBJECT PROJECT:-

WE, (THE **SUBCONTRACTOR**) FOR THE MANUFACTURE OF EQUIPMENT

SIZE / CAPACITY MODEL NO. NO. OF UNITS

HEREBY DECLARE THAT THE ABOVE SPECIFIED EQUIPMENT AS OFFERED BY US SHALL BE MANUFACTURED & FABRICATED IN OUR FACTORY & SHALL NOT BE SUBCONTRACTED BY US.

FOR SUB - SUBCONTRACTOR IF APPLICABLE:-

WE, (THE **SUB-SUBCONTRACTOR**) FOR THE MANUFACTURE OF ITEM

FOR THE ABOVE MENTIONED EQUIPMENT, SIZE / CAPACITY MODEL NO. NO. OF UNITS

HEREBY DECLARE THAT THE ABOVE SPECIFIED ITEM AS OFFERED BY US SHALL BE MANUFACTURED & FABRICATED IN OUR FACTORY & SHALL NOT BE SUBCONTRACTED BY US. (IF MORE THAN ONE SUB - SUBCONTRACTOR USE SEPARATE SHEET FOR EACH)

	<u>MAIN CONTRACTOR</u>	<u>SUBCONTRACTOR</u> <small>(SIGNED & STAMPED BY ACTUAL MANUFACTURER)</small>	<u>SUB - SUBCONTRACTOR</u>
SIGNATURE
NAME
TITLE
DATE
OFFICIAL SEAL

SUMMARY / DETAILS SHEET FOR SUB - SUBCONTRACTOR

PROJECT:-

1. NAME OF SUBCONTRACTOR : NAME OF EQUIPMENT
2. NAME OF SUB - SUBCONTRACTOR : NATIONALITY
3. ITEM/S TO BE MANUFACTURED : SIZE / CAPACITY
 (FOR THE ABOVE MENTIONED EQUIPMENT) : MODEL NO. NO. OF UNITS
4. AS PER CLAUSE C-10 : a - % OF EQUIPMENT MANUFACTURE BY SUBCONTRACTOR :-%
 : b - % OF EQUIPMENT ITEM/S MANUFACTURE BY SUB - SUBCONTRACTOR :-%
5. PLACE OF ITEM/S MANUFACTURE : MANUFACTURING EXPERIENCE : YEARS
6. SUB - SUBCONTRACTOR'S ADDRESS :-

PRINCIPAL CONTACT	POSITION	P.O BOX	CITY	COUNTRY	FAX	TELEX	E. MAIL	PHONE

(IF MORE THAN ONE SUB - SUBCONTRACTOR USE SEPARATE SHEET FOR EACH)

DOCUMENTS REQUIRED FOR SUB-SUBCONTRACTORS:-

- ◆ REFERENCE LIST OF LAST TEN YEARS, SHOWING CLIENT NAME, YEAR OF SUPPLY, NO. OF UNITS, UNIT SIZE & CAPACITY, TYPE, MODEL ETC FOR THE TYPE OF ITEM NOTED IN NO. (3) ABOVE
- ◆ PERFORMANCE CERTIFICATES FROM ANY TWO END USERS BY MENTIONING SPECIFICATION, YEAR OF COMMOSSIONING AND SATISFACTORY COMMERCIAL OPERATION FOR THREE YEARS OR MORE FOR THE TYPE OF ITEM NOTED IN NO. (3) ABOyVE
- ◆ COMMERCIAL REGISTRATION, ZAKAT PAYMENT CERTIFICATE & MINISTERIAL DEGREE / LICENSE (IF APPLICABLE)
- ◆ DECLARATION OF SPARE PARTS, MANUFACTURING STATEMENT AND SUMMARY / DETAILS SHEET DULY SIGNED AND STAMPED BY MAIN CONTRACTOR, SUBCONTRACTOR & SUB-SUBCONTRACTOR

	<u>MAIN CONTRACTOR</u>	<u>SUBCONTRACTOR</u> (SIGNED & STAMPED BY ACTUAL MANUFACTURER)	<u>SUB - SUBCONTRACTOR</u>
SIGNATURE
NAME
TITLE
DATE
OFFICIAL SEAL